

Explain Rules of Engagement & Directives on the Use of Force



CANADIAN ARMY
COMMAND AND STAFF COLLEGE

COLLÈGE DE COMMANDEMENT ET
D'ÉTAT-MAJOR DE L'ARMÉE CANADIENNE



Main Teaching Points (MTP)

- What are:
 - Rules of Engagement (ROE)
 - Directive on Use of Force (DUF)
- General Provisions
- Basic Principals
- Basic Principals in the Use of Force



Use of Force Military Operations

Learning Activity

- Instruction
 - Reflect/think about how your country uses force on operations (Domestic/Internationally)
 - How is force Applied legally?
 - IS there a difference with UN Peacekeeping Operations
- Time: 5 Mins
 - Brainstorm: 3 minutes
 - Discussion; 2 minutes



COLLÈGE DE COMMANDEMENT ET
D'ÉTAT-MAJOR DE L'ARMÉE CANADIENNE



Directorate of Military Training and Cooperation – Canada
Direction de l'instruction et de la coopération militaire –
Canada

Use of Force

- No matter which Chapter is referenced, an appropriately worded Security Council mandate provides the true basis for the legitimate use of force by personnel serving in a UN peacekeeping operation.
- The authority to **use force** in certain situations is also guided by:
 - **The Mission's Concept of Operations (CONOPs)**
 - **The Rules of Engagement (ROE)**
 - **The Directive on the Use of Force (DUF)**



National
Défense

Défense
nationale

CANADIAN ARMY
COMMAND AND STAFF COLLEGE



COLLÈGE DE COMMANDEMENT ET
D'ÉTAT-MAJOR DE L'ARMÉE CANADIENNE



Directorate of Military Training and Cooperation – Canada
Direction de l'instruction et de la coopération militaire –
Canada

What Are ROEs

- **United Nations Rules of Engagement (ROE) provide the parameters within which armed military personnel assigned to United Nations Peacekeeping Operations may use force.**
- **ROE Ensure that the use of forces by UN Armed military personnel is undertaken in accordance with the purpose of the Charter of the UN, The security council mandate and the relevant principles of International Law, Including the Laws of Armed Conflict.**



ROE & DUF

- Guide Use of Force
- ROE for military personnel
- Directive on Use of Force (DUF) for police personnel
- Guidance in accordance with mandate UN Charter, international law
- Do not limit the Right to Self Defence



ROE Structure (1/2)

Main Body

- Explains the requirement for ROE
- The ROE planning process and instructions to implement change
- Most importantly it outlines the mandate that specifies the number ROE, which should apply to the relevant UNPKO

Annex A – Lists of Numbered ROE

- This list contains the ROE applicable to the specific UNPKO pursuant to its mandate as outlined in the Security Council resolution(s), as drawn from the United Nations Master list of Numbered ROE

Annex B – Definitions

- To ensure cohesion of command and control during the use of ROE, a specific set of definitions is provided.

ROE Structure (2/2)

Annex C - Supporting Directions and Procedures

- Provides the direction and procedures to be followed by United Nations Armed Military Personnel under certain duties.

Annex D – Weapons States

- Identifies various weapons states that may be authorized by the Force Commander without recourse to the United Nations HQ(UNHQ)

Annex E – Aide-Memoire

- Soldiers Card or other such documents



The Importance

- Use of force specific to mission and its mandate
- Differs from National Legal restrictions
 - Some Troop Contributing Countries will issue separate National ROE
- Robust for volatile and potentially dangerous environments



National
Defence

Défense
nationale

CANADIAN ARMY
COMMAND AND STAFF COLLEGE



COLLÈGE DE COMMANDEMENT ET
D'ÉTAT-MAJOR DE L'ARMÉE CANADIENNE



Directorate of Military Training and Cooperation – Canada
Direction de l'instruction et de la coopération militaire –
Canada

Responsibility

- All armed peacekeeping personnel must know the ROE and DUF because the use of force is specific to the mission's mandate, may be robust and may be different from national legal restrictions.
- UN military and police commanders are responsible for all personnel under their command knowing the ROE or DUF.
- **National law of the host country:** It is important that peacekeeping personnel respect and follow the national laws of the host country, as well as local customs and culture.



Confirmation

What mission-specific documents guide use of force in a UN peacekeeping operation?

1. Rules of Engagement (ROE) for military.
2. Directive on Use of Force (DUF) for police, where Formed Police Units (FPUs) are authorized to carry arms.



Summary

- United Nations Rules of Engagement (ROE) provide the parameters within which armed military personnel assigned to United Nations Peacekeeping Operations may use force.
- All armed peacekeeping personnel must know the ROE and DUF because the use of force is specific to the mission's mandate.
- UN military and police commanders are responsible for all personnel under their command knowing the ROE or DUF.
- **National law of the host country:** It is important that peacekeeping personnel respect and follow the national laws of the host country, as well as local customs and culture.



Questions?



National
Defence

Défense
nationale

CANADIAN ARMY
COMMAND AND STAFF COLLEGE



COLLÈGE DE COMMANDEMENT ET
D'ÉTAT-MAJOR DE L'ARMÉE CANADIENNE



Directorate of Military Training and Cooperation – Canada
Direction de l'instruction et de la coopération militaire –
Canada